

PTO-1390 (Rev. 12-2004) Approved for use through 3/31/2007. OMB 0651-0021
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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** ATTTORNEY'S DOCKET NUMBER 3581.04US01 751935 37 CFR 1.5) PRIORITY DATE CLAIMED

20.

INTERNATIONAL FILING DATE

INTERNATIONAL APPLICATION NO. PCT/EP2003/006799 27 June 2003 (27/06/2003) 28 June 2002 (28/06/2002) TITLE OF INVENTION MEDICAMENT FOR THE TREATMENT OF DISEASES DUE TO INFECTION BY NEISSERIA MENINGITIDIS APPLICANT(S) FOR DO/EO/US BRAUN, Jan Matthias; WEIR, Donald M.; BLACKWELL, C. Caroline and BEUTH, Josef Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. V A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). \square Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🗹 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. L A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. 🗀 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Front page of PCT Publication No. WO 2004/00253 A1 - 1 page; copy of Search Report - 5 pages; Copy of Written Opinion - 5 pages; Other items or information; Copy of Response to Written Opinion - 7 pages; and Copy of IPER - 10 pages

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. | | | | | | ATTORNEY'S DOC | KET NUMBER |
|---|------------------------|---|---|---|------------|------------------------|------------|
| 10/510252 PCT/EP2003/006799 | | | | | | 3581.04US01 | |
| 21. The following fees are submitted: | | | | | | | |
| a) Basic national fee\$300.00 | | | | | | \$ 300 | |
| b) Examination fee\$200.00 | | | | | | \$ 200 | |
| c) Search fee\$500.00 | | | | | | \$ 500 | |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00 | | | | | | \$ 1,000 | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | |
| Total Sheets | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | | | RATE | | |
| 72 - 100 = | 0 /50 = | 0 | - | | x \$250.00 | \$ O | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | \$ O | |
| CLAIMS NUMBER FILED NUMBER EXTRA | | | | | RATE | \$ | |
| Total claims | 19 | - 20 = | 0 | × | \$50.00 | \$ O | |
| Independent clai | ms 2 | - 3 = | 0 | × | \$200.00 | \$ 0 | |
| MULTIPLE DEPI | ENDENT CLAIM(S) (if ap | plicable) | | + | \$360.00 | \$ 0 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | \$ 1,000 | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | | | | | \$ 500 | |
| SUBTOTAL = | | | | | | \$ 500 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest | | | | | | \$ O | |
| claimed priority date (37 CFR 1.492(f)). + TOTAL NATIONAL FEE = | | | | | | \$ 500 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | \$ 0 | |
| TOTAL FEES ENCLOSED = | | | | | | \$ 500 | |
| | | | | | | Amount to be | s |
| | | | | | | refunded: Amount to be | |
| | | | | | | charged: | \$ |
| a. A check in the amount of \$ 500.00 to cover the above fees is enclosed. | | | | | | | |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 16-0631. A duplicate copy of this sheet is enclosed. | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not | | | | | | | |
| be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed | | | | | | | |
| and granted to restore the International Application to pending status. | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | 1 / 11 | |
| Brian L. JARRELLS Patterson, Thuente, Skaar & Christensen, P.A. Brian L. JARRELLS SIGNATURE | | | | | | | |
| 4800 IDS Center Brian L. JAF | | | | | | RELLS | |
| 80 South 8th Street NAME | | | | | | | _ |
| Minneapolis, Minnesota 55402 53,067 | | | | | | ON MUNOCO | |
| REGISTRATION NUMBER | | | | | | | |

IN THE UNITED STATES PATENT AND TRADEMA', K OFFICE PCT RECEIVING OFFICE (RO/UŚ)

In re the application of:

Attorney Docket No.: 3581.04US01

BRAUN et al.

Application No.:

Not Yet Assigned

Filed:

Concurrently Herewith

For:

MEDICAMENT FOR THE TREATMENT OF DISEASES DUE TO INFECTION

BY NEISSERIA MENINGITIDIS

SUBMISSION OF DOCUMENTS

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Enclosed for filing in the above-identified application are the following documents:

- 1. Certificate of Express Mailing;
- Transmittal Letter to the United States Designated/Elected Office 2. (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 – 2 pages;
- 3. Information Disclosure Statement and Form PTO-1449 – 3 pages;
- Copies of 5 Cited References; 4.
- Preliminary Amendment 9 pages; 5:
- Front Page of PCT Publication No. WO 2004/002523 A1 1 page; 6.
- 7. Copy of Search Reports mailed 11/20/2003 - 5 pages;
- 8. Copy of Written Opinion mailed 03/17/2004 – 5 pages;
- Copy of Response to Written Opinion mailed 07/14/2004 7 pages; 9.
- 10. Copy of International Preliminary Examination Report mailed 08/23/2004 -10 pages
- 11. Check in the amount of \$500.00; and
- Return Postcard. 12.

Respectfully submitted,

Brian L. JARRELLS Registration No. 53,067

Patterson, Thuente, Skaar & Christensen, P.A. 4800 IDS Center, 80 South Eighth Street Minneapolis, Minnesota 55402-2100 (612) 252-1535

CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number EV 494285755 US. Date of Deposit: 27 December 2004. I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. pris Whitcomb

Doris Whitcomb

Name of Person Making Deposit